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***Saint-Petersburg State Polytechnical University***



**Spectrally efficient OFDM signals**

**Post-graduate student:  
Scientific Advisor:**

**A. Rashich  
Prof. S. Makarov**

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## Outline

- ❑ Basis sets for constructing spectrally efficient orthogonal frequency division multiplexing (OFDM) signals
- ❑ Their spectral trends

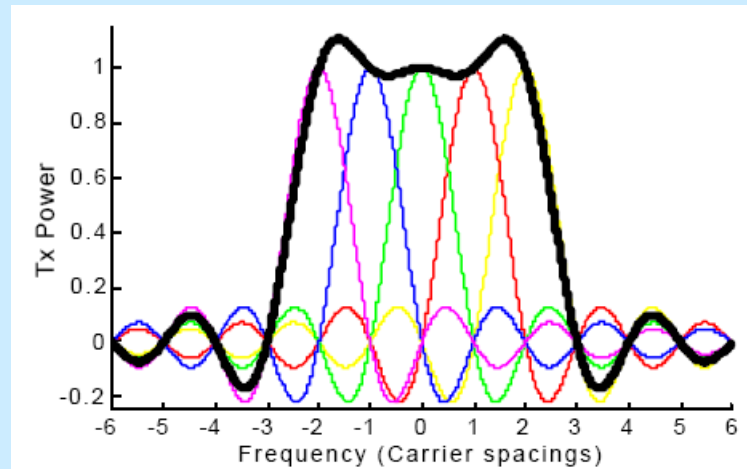
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## OFDM signal

$$s(t) = \rho \sum_k \operatorname{Re} \left\{ \sum_{n=0}^{N-1} D_{n,k} \exp[j(\omega_0 + n\omega_d)(t - kT)] \right\} \cdot p(t - kT)$$

$N$  frequencies in OFDM signal spaced by  $\omega_d = \frac{2\pi}{T_d}$

$p(t)$  is a unit rectangular pulse on  $-T_g - T_d/2 \leq t \leq T_d/2$



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Initial basis for rectangularly pulsed OFDM signal:

$$\Psi = \left\{ C_n^{(0)}(t), S_n^{(0)}(t) \right\}_{n=0}^{N-1} \quad (1)$$

$$C_n^{(m)}(t) = \sqrt{\frac{2}{T_d}} \cos\left(\left(\omega_0 + \frac{1}{2}m\omega_d + n\omega_d\right)t\right) \quad S_n^{(m)}(t) = \sqrt{\frac{2}{T_d}} \sin\left(\left(\omega_0 + \frac{1}{2}m\omega_d + n\omega_d\right)t\right)$$

$$\omega_d = \frac{2\pi}{T_d} \quad |t| \leq \frac{T_d}{2} \quad \begin{array}{l} n = 0, 1, \dots, (N-1) \\ m = 0, 1 \end{array}$$

$N$  frequencies in OFDM signal spaced by  $\omega_d = \frac{2\pi}{T_d}$

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Bilinear transform  $\{h_k(t)\}_{k=0}^{2K-1} \Rightarrow \left\{ \frac{1}{\sqrt{2}} (h_{2k}(t) \pm h_{2k+1}(t)) \right\}_{k=0}^{K-1}$  (2)

## Basis W

$$\Phi_1^{(c)} = \{P_1^{(c)}(t)C_{2n}^{(1)}(t), P_1^{(c)}(t)S_{2n}^{(1)}(t)\}_{n=0}^{N/2-1}$$

$$\Phi_u^{(c)} = \{P_1^{(s)}(t) \left( \prod_{k=1}^{u-2} P_{2^k}^{(c)}(t) \right) P_{2^{u-1}}^{(s)}(t) C_{n2^u+\zeta(u)}^{(1)}(t),$$

$$P_1^{(s)}(t) \left( \prod_{k=1}^{u-2} P_{2^k}^{(c)}(t) \right) P_{2^{u-1}}^{(s)}(t) S_{n2^u+\zeta(u)}^{(1)}(t)\}_{n=0}^{N/2^{u-1}-1}, u=2,3,\dots,\log_2 N$$

$$P_n^{(c)}(t) = \sqrt{2} \cos((1/2)n\omega_d t) \quad \zeta(u) = \sum_{k=0}^{u-2} 2^k = 2^{u-1} - 1$$

$$P_n^{(s)}(t) = \sqrt{2} \sin((1/2)n\omega_d t)$$

$$\dot{W}_L = \bigcup_{u=1}^L \Phi_u^{(c)}$$

is a 2M-D basis set containing zero-edged continuous basis signals on  $|t| \leq \frac{T_d}{2}$

$$L \in \{1, 2, \dots, \log_2 N\}$$

$$M = \sum_{i=1}^L 2^i N = N(1 - 2^{-L})$$


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## Basis V

$$\Theta_u^{(c)} = \{P_{N/2^u}^{(s)}(t) \prod_{k=1}^{u-1} P_{N/2^k}^{(c)}(t) C_{n+\frac{1}{2}\chi(u+1)}^{(0)}(t), P_{N/2^u}^{(s)}(t) \prod_{k=1}^{u-1} P_{N/2^k}^{(c)}(t) S_{n+\frac{1}{2}\chi(u+1)}^{(0)}(t)\}_{n=0}^{N/2^u-1}, u = 1, 2, \dots, \log_2 N - 1 \quad (4)$$

$$\Theta_{\log_2 N}^{(c)} = \left\{ \prod_{k=1}^{\log_2 N} P_{N/2^k}^{(c)}(t) C_{n+\frac{1}{2}\chi(\log_2 N)}^{(1)}(t), \prod_{k=1}^{\log_2 N} P_{N/2^k}^{(c)}(t) S_{n+\frac{1}{2}\chi(\log_2 N)}^{(1)}(t) \right\}$$

$$\chi(u) = \sum_{k=1}^{u-1} N/2^k = N(1 - 2^{1-u})$$

$$V_L = \bigcup_{u=1}^L \Theta_u^{(c)} \text{ is a 2M-D basis set containing zero-edged continuous basis signals on } |t| \leq \frac{T_d}{2}$$

$$L \in \{1, 2, \dots, \log_2 N\}$$

$$M = \sum_{i=1}^L 2^{-i} N = N(1 - 2^{-L})$$

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## Alternative Formats for $W_L$ and $V_L$

$$\Phi_u^{(c)} = \left\{ 2^{-u/2} \sum_{v=0}^{2^u-1} (-1)^{1+\psi_{u,v}} C_{n2^u+v}^{(0)}(t), 2^{-u/2} \sum_{v=0}^{2^u-1} (-1)^{1+\psi_{u,v}} S_{n2^u+v}^{(0)}(t) \right\}_{n=0}^{N/2^u-1} \quad (5)$$

$$\Theta_u^{(c)} = \left\{ 2^{-u/2} \sum_{v=0}^{2^u-1} \phi_{u,v} C_{n+vN/2^u}^{(0)}(t), 2^{-u/2} \sum_{v=0}^{2^u-1} \phi_{u,v} S_{n+vN/2^u}^{(0)}(t) \right\}_{n=0}^{N/2^u-1} \quad (6)$$

$$u = 1, 2, \dots, \log_2 N$$

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$M$  complex data symbols  $\{D_{m,k}\}_{m=0}^{M-1}$

**New OFDM signal** 
$$s(t) = \rho \sum_k \operatorname{Re} \left\{ \sum_{n=0}^{N-1} B_{n,k} \exp[j(\omega_0 + n\omega_d)(t - kT)] \right\} \cdot p(t - kT) \quad (7)$$

$$B_{n,k} = \sum_{m=0}^{M-1} G_{n,m}^{(\chi)} D_{m,k}, n = 0, 1, \dots, N-1 \quad \chi = W \text{ or } V$$

$$G_{n2^u + \nu, \chi(u)+n}^{(W)} = 2^{-u/2} (-1)^{1+\psi_{u,\nu}}, n = 0, 1, \dots, \frac{N}{2^u} - 1, \nu = 0, 1, \dots, 2^u - 1, u = 1, 2, \dots, L$$

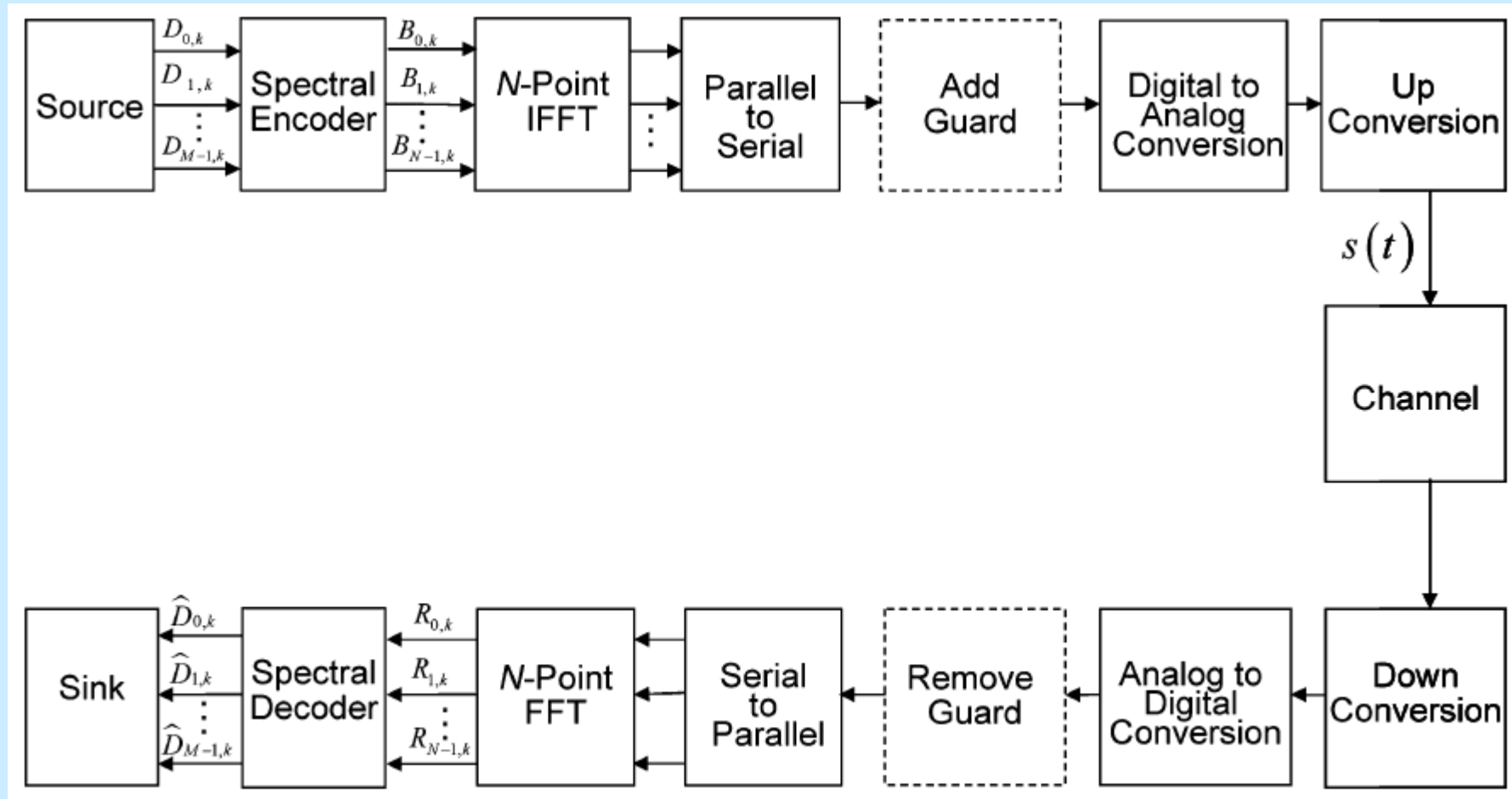
$$G_{n + \frac{N}{2^u} \nu, \chi(u)+n}^{(V)} = 2^{-u/2} \phi_{u,\nu}, \quad n = 0, 1, \dots, \frac{N}{2^u} - 1, \quad \nu = 0, 1, \dots, 2^u - 1, \quad u = 1, 2, \dots, L$$

$p(t)$  is a unit rectangular pulse on  $-T_g - T_d/2 \leq t \leq T_d/2$

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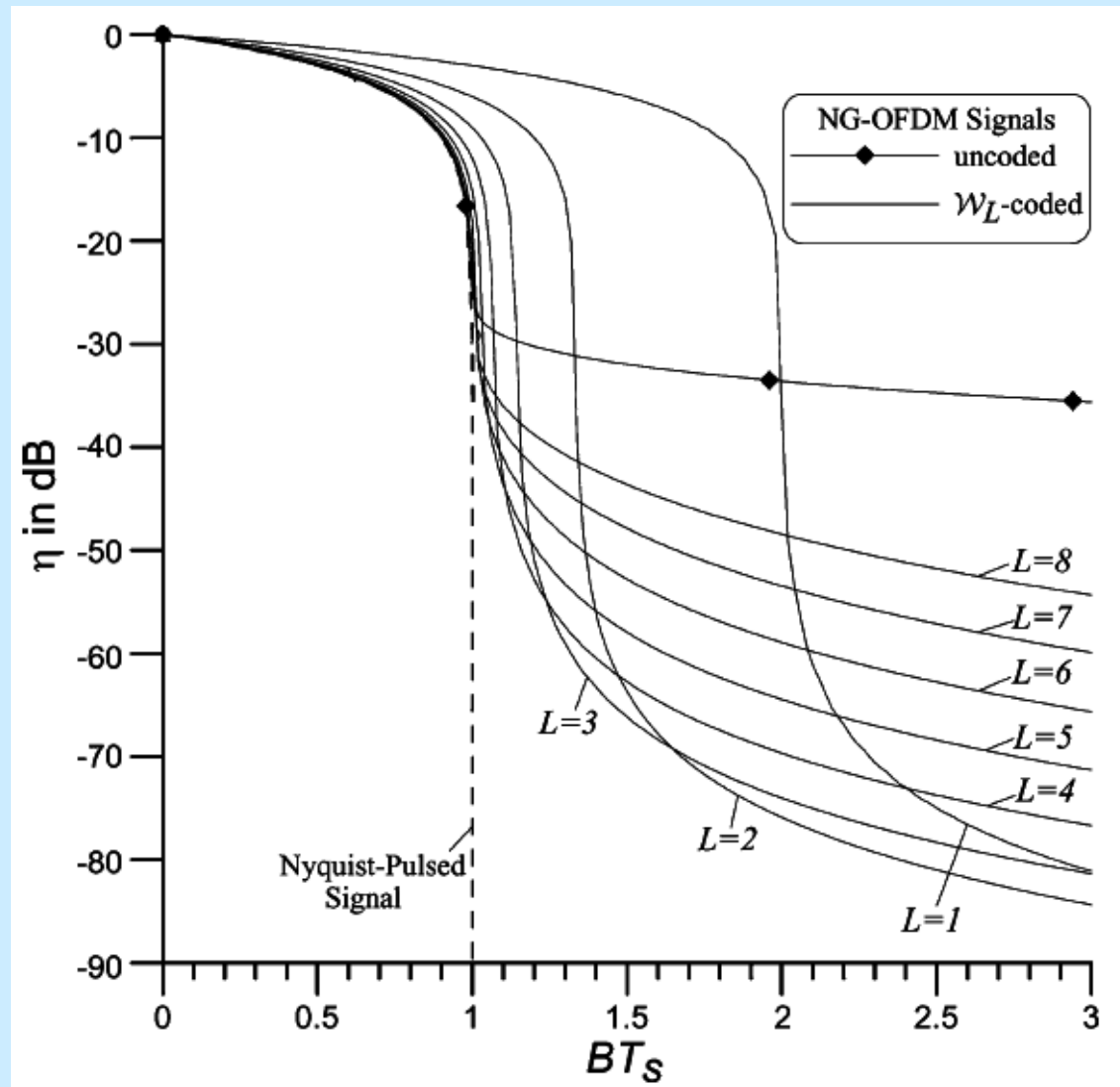


## System model



## Spectral analysis

Fractional out-of-band power characteristics of  $\mathcal{W}_L$ -coded OFDM signals  $N=256$



## Spectral analysis

Fractional out-of-band power characteristics of  $V_L$ -coded OFDM signals  $N=256$

